



AUDITED STATEMENT OF 2016-17

Criteria – 4.1.4 - Average percentage of budget allocation, excluding salary for infrastructure augmentation during the last five years (INR in Lakhs)

Description	Page No.
Education Expenses & Student Activities Administrative Expenses Advertising, Publicity & Placement Expenses Interest & Bank Charges Rent, Rates & Taxes	3 & 5

INDEPENDENT AUDITOR'S REPORT

Report on the Financial Statements

We have audited the accompanying financial statements of University of Petroleum & Energy Studies (University), which comprise the Balance Sheet as at 31st March 2017, the Statement of Income & Expenditure account for the year then ended, and a summary of the significant accounting policies and other explanatory information.

Management's Responsibility for the Financial Statements

University Management is responsible for the preparation of these financial statements that give a true and fair view of the financial position and financial performance of the University in accordance with the Generally Accepted Accounting Principles. This responsibility includes the design, implementation and maintenance of internal control relevant to the preparation and presentation of the financial statements that give a true and fair view and are free from material misstatement, whether due to fraud or error.

Auditor's Responsibility

Our responsibility is to express an opinion on these financial statements based on our audit. We conducted our audit in accordance with the Standards on Auditing issued by the Institute of Chartered Accountants of India. Those Standards require that we comply with ethical requirements and plan and perform the audit to obtain reasonable assurance about whether the financial statements are free from material misstatement.

An audit involves performing procedures to obtain audit evidence about the amounts and disclosures in the financial statements. The procedures selected depend on the auditor's judgment, including the assessment of the risks of material misstatement of the financial statements, whether due to fraud or error. In making those risk assessments, the auditor considers internal control relevant to the University's preparation and fair presentation of the financial statements in order to design audit procedures that are appropriate in the circumstances. An audit also includes evaluating the appropriateness of accounting policies used and the reasonableness of the accounting estimates made by trust management, as well as evaluating the overall presentation of the financial statements.

We believe that the audit evidence we have obtained is sufficient and appropriate to provide a basis for our audit opinion.

Opinion

In our opinion and to the best of our information and according to the explanations given to us, the financial statements give the information required by the Act in the manner so required and give a true and fair view in conformity with the accounting principles generally accepted in India:

- a) in the case of the Balance Sheet, of the state of affairs of the University as at March 31, 2017;
- b) in the case of the Income & Expenditure Account, of the excess of income over expenditure for the year ended on that date.

Place: New Delhi
Date: 22nd September 2017



For RAGHU NATH RAI & CO.
Chartered Accountants
FRN: 000451N

SAMIR JAIN
(Partner)

Membership No. : 77010

E mail: admin@rnr.in; Website: www.rnr.in

Navneet Mehta
(Finance Officer)
University of Petroleum & Energy Studies



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UNIVERSITY OF PETROLEUM & ENERGY STUDIES
Balance Sheet as at 31st March, 2017

Amount in Rs.

Liabilities	Schedule	31st March 2017		31st March 2016	
CAPITAL FUNDS:	1				
Permanent Endowment Fund		14,013,278		13,069,481	
Development Fund		12,011,957		10,980,565	
General Fund		1,897,284,969	1,923,310,204	1,284,583,585	1,309,233,629
REFUNDABLE SECURITY DEPOSIT FROM STUDENTS			244,974,153		204,737,000
CURRENT LIABILITIES & PROVISIONS					
Advance Fee Received against new admissions		147,002,369		103,059,229	
Other Current Liabilities		287,168,331		433,333,012	
TDS & other statutory liabilities (ALUMNI CONTRIBUTION)		14,059,202		38,656,663	
Deferred Fees Criteria 5.4.2		818,752,234		549,464,874	
Provision for Retirement Benefits		484,512,044	1,551,554,181	268,647,012	1,393,160,790
Total			3,719,838,538		2,907,131,419
Assets	2				
Fixed Assets (Net)			1,237,564,321		1,146,996,527
Capital Work-in-Progress			80,545,022		76,266,909
CURRENT ASSETS, LOANS & ADVANCES					
Receivable from Students		4,354,951		4,287,482	
Accrued Income		56,634,287		69,736,905	
Cash & Bank Balances	3	2,141,787,683		1,399,113,021	
Advances & Deposits	4	198,952,274	2,401,729,195	210,730,576	1,683,867,984
Total			3,719,838,538		2,907,131,419

Schedule 10 Significant Accounting Policies and Schedule to Accounts

As per our report of even date
For Raghunath Rai & Co.
Chartered Accountants
FRN:- 000451N

For University of Petroleum & Energy Studies

[Signature]

[Signature]

[Signature]

(Samir Jain)
Partner
Membership No. - 77010

(Dr. Doopendra Kumar Jha)
Vice-Chancellor

(Sanjooov Kumar Goyal)
Finance Officer

Date: 22 SEP 2017
Place: - New Delhi



ALUMNI CONTRIBUTION (CRITERIA 5.4.2) - is a part of other current liabilities - reflected under (Current Liability Schedule) Page. No. 10

Navneet Mehta
(Finance Officer)
University of Petroleum & Energy Studies

[Signature]



UNIVERSITY OF PETROLEUM & ENERGY STUDIES
Income & Expenditure Account for the year ended on 31st March 2017

	Schedule	31st March 2017	31st March 2016
INCOME			
Income from Academics	5	3,176,501,505	2,518,802,877
Interest Income		154,912,005	99,111,982
	(A)	3,331,413,510	2,617,914,859
EXPENDITURE			
Staff, Faculty & Other Costs	6	1,015,702,568	704,548,675
Education Expenses & Student Activities	7	665,015,567	595,748,317
Administrative Expenses	8	279,400,584	231,078,073
Advertising, Publicity & Placement Expenses	Criteria 4.1.4 & EP 4.6	362,120,310	293,579,628
Interest & Bank Charges	9	859,090	1,659,401
Rent, Rates & Taxes		218,548,784	173,764,099
	(B)	2,541,646,901	2,000,376,194
Surplus/(Deficit) before Depreciation	(C)=(A)-(B)	789,766,609	617,538,665
Depreciation on Fixed Assets	2	175,690,036	162,363,289
	(D)	175,690,036	162,363,289
Surplus/(Deficit) after Depreciation	(E)=(C)-(D)	614,076,573	455,175,376
Appropriations:			
-to Endowment Fund (Interest on Fixed Deposit)		343,797	318,750
-to Development Fund (Interest on Fixed Deposit)		1,031,392	956,250
	(F)	1,375,189	1,275,000
Surplus/(Deficit) Transferred to General Fund	(G)=(E)-(F)	612,701,384	453,900,376

Schedule 10 Significant Accounting Policies and Schedule to Accounts

As per our report of even date
For Raghunath Rai & Co.
Chartered Accountants
FRN:- 000451N

(Samir Jain)
Partner
Membership No.: 77010

Date: **22 SEP 2017**
Place: New Delhi



For University of Petroleum & Energy Studies

(Dr. Deependra Kumar Jha)
Vice-Chancellor

(Sanjeev Kumar Goyal)
Finance Officer



Navneet Mehta
(Finance Officer)
University of Petroleum & Energy Studies

UNIVERSITY OF PETROLEUM & ENERGY STUDIES

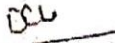
Schedules forming part of accounts


Amount in Rs.

	<u>31st March 2017</u>	<u>31st March 2016</u>
SCHEDULE '1' - CAPITAL FUNDS		
A. PERMANENT ENDOWMENT FUND		
Opening Balance	13,669,481	13,350,731
Transfer from Income & Expenditure Account	343,797	318,750
Closing Balance	14,013,278	13,669,481
B. DEVELOPMENT FUND		
Opening Balance	10,980,565	10,024,315
Transfer from Income & Expenditure Account	1,031,392	956,250
Closing Balance	12,011,957	10,980,565
C. GENERAL FUND		
Opening Balance	1,284,583,585	830,683,209
Transfer from Income & Expenditure Account	612,701,384	453,900,376
Closing Balance	1,897,284,969	1,284,583,585
TOTAL FUNDS (A+B+C)	1,923,310,204	1,309,233,630

For University of Petroleum & Energy Studies

Date : **22 SEP 2017**
Place : New Delhi


(Dr. Deependra Kumar Jha)
Vice-Chancellor


(Sanjeev Kumar Goyal)
Finance Officer



Navneet Mehta
(Finance Officer)
University of Petroleum & Energy Studies





UNIVERSITY OF PETROLEUM & ENERGY STUDIES
YEAR ENDED 31ST MARCH 2017

Schedule 2 - FIXED ASSETS

Sl. No.	Fixed Assets	Rate	Gross Value			Depreciation		Impairment		Amount in Rs. Net Value	
			Opening Balance	Additions during the year	Assets Sold/Withdrawn off during the year	Closing Balance	Opening Balance	Provision for Dep on assets sold/withdrawn off during the year	Opening	for the year	31st March 2017
1	Land & Scarc Ground	0.00%	58,153,702	-	-	58,153,702	-	-	-	58,153,702	58,153,702
2	Building	10.00%	1,058,778,008	150,520,303	45,256,739	1,164,041,572	439,057,905	307,481,551	-	825,583,667	619,720,182
3	Classroom Equipments	20.00%	255,845,153	26,117,145	991,624	282,963,923	59,278,305	341,442	4,509,628	111,699,028	112,056,025
4	Computer & Software	40.00%	160,577,652	42,544,581	5,587,290	197,534,943	126,536,062	355,8878	2,737,652	67,141,976	51,303,548
5	Furniture & Fixtures	25.00%	213,759,536	32,019,543	-	245,779,079	113,340,667	299,7135	1,900,911	101,648,832	98,517,528
6	Office Equipments	13.91%	115,111,742	18,320,059	833,044	134,264,855	64,432,377	1,075,265	1,937,554	129,328,622	107,781,771
7	Vehicle	25.00%	9,365,762	-	-	9,365,762	6,135,521	1,831,311	-	2,534,241	3,200,261
8	Energy Books	13.91%	24,661,795	5,707,251	-	30,369,046	9,766,301	1,802,241	-	18,192,703	14,695,494
9	Plant & Machinery	15.51%	26,184,487	-	-	26,184,487	13,718,600	2,718,931	-	10,734,154	12,455,937
10	Leasable Improvement	-	32,771,187	5,624,504	-	38,395,691	3,901,667	4,521,731	-	30,741,232	23,869,239
11	Machinery under RAO Project	-	28	-	-	28	-	-	-	28	28
Total			2,015,270,317	281,329,259	52,615,496	2,348,615,072	837,187,606	3,759,228	11,066,155	1,237,564,371	1,145,996,526
Previous Year			1,806,511,517	225,555,256	10,592,555	2,042,670,317	702,216,503	629,4247	11,066,155	1,145,996,526	1,089,206,862

Criteria 7.1.8

Criteria 4.2.4

Criteria 4.1.4

& EP 4.6

Navneet Mehta
(Finance Officer)
University of Petroleum & Energy Studies

For University of Petroleum & Energy Studies

(Dr. Deependra Kumar Jha)
Vice-Chancellor

(Sanjeev Kumar Goyal)
Finance Officer



2016-17

**UNIVERSITY OF PETROLEUM & ENERGY STUDIES
YEAR ENDED 31ST MARCH 2017**

Schedules forming part of accounts

	<u>31st March 2017</u>	<u>31st March 2016</u>
SCHEDULE '3' - Cash & Bank Balances		
Cash in hand	414,633	1,253,445
Bank Balances *	1,142,050,585	909,260,549
Fixed Deposit Receipts *	999,322,465	488,599,027
Total	<u>2,141,787,683</u>	<u>1,399,113,021</u>

* The above Bank Balances & Fixed Deposit Receipts include Fees received in advance Rs.14.71 Cr (Previous year Rs 10.31 Cr) , Security deposits received from students Rs 24.50 Cr (Previous year Rs 20.48 Cr) and Fees received in advance from existing students Rs 61.88 Cr (Previous year Rs 54.94 Cr) Inter alia.

SCHEDULE '4' - Advances & Deposits

Advances Recoverable in Cash or Kind	20,455,008	36,619,092
Prepaid Expenses	26,545,375	21,993,611
Security Deposits	151,951,891	152,117,873
Total	<u>198,952,274</u>	<u>210,730,576</u>

SCHEDULE '5' - Income from Academics

Gross Course Fees	2,628,904,011	2,093,701,442
Less: Domicile Discount/concession	14,688,665	12,708,509
Less: Scholarship expenses	20,717,713	14,614,995
Net Course Fees	2,593,497,633	2,066,377,938
Tuition Fees from Distance Students (CCE)	118,990,011	98,452,257
Other Fees	384,458,718	268,086,757
Enrolment Fees	66,010,100	73,059,073
Miscellaneous Receipts	13,545,042	12,826,852
Total	<u>3,176,501,505</u>	<u>2,518,802,877</u>

For University of Petroleum & Energy Studies

Date :- 22 SEP 2017
Place :- New Delhi

DCW
(Dr. Deependra Kumar Jha)
Vice-Chancellor

SKG
(Sanjeev Kumar Goyal)
Finance Officer



Navneet Mehta
(Finance Officer)
University of Petroleum & Energy Studies

**UNIVERSITY OF PETROLEUM & ENERGY STUDIES
YEAR ENDED 31ST MARCH 2017**

Schedules forming part of accounts

SCHEDULE '6' - Staff, Faculty & Other Cost	31st March 2017	31st March 2016
Staff, Faculty & Related Costs	982,608,810	672,885,988
Academic Professional Charges	33,093,756	31,660,688
Total	1,015,702,566	704,546,675

SCHEDULE '7' - Education Expenses & Student Activities		
Student Welfare/Training Expenses		210,103,355
Examination Expenses	Criteria 4.4.1 (Academic)	10,584,248
Laboratory Expenses		4,985,051
Library Expenses	Criteria 4.2.4	8,133,780
Industrial Tour Expenses		24,653,926
Courseware & License Fees	Criteria 4.4.1 (Academic)	99,965,459
Outsourced Services		177,568,191
Hostel Lodging & Boarding Expenses		59,754,307
Total		595,748,317

SCHEDULE '8' - Administrative Expenses		
IT Hardware & Software Expenses	Criteria 4.4.1 (Physical)	20,624,488
Electricity, Generator & Water Expenses		20,719,991
Festivals & Functions		4,755,032
Statutory Auditor's Fees		429,375
Legal Expenses		510,400
Professional and Consultancy Charges		34,359,996
Communication Expenses	Criteria 4.4.1 (Physical)	5,004,090
Insurance Expenses		9,384,140
Printing & Stationary		7,194,934
Repair & Maintenance	Criteria 4.4.1 (Physical)	37,802,806
Travelling, Transportation & Hotel Expenses		40,895,092
Housekeeping/Security Expenses		32,139,358
Other Administrative Expenses	Criteria 4.4.1 (Physical)	17,258,371
Total		231,078,073

SCHEDULE '9' - Interest & Bank Charges		
Interest on Term Loan		449,228
Bank Charges		1,210,173
Total		1,659,401

For University of Petroleum & Energy Studies

Date :- **22 SEP 2017**
Place :- New Delhi

Dr. Doopendra Kumar Jha
(Dr. Doopendra Kumar Jha)
Vice-Chancellor

(Sanjeev Kumar Goyal)
(Sanjeev Kumar Goyal)
Finance Officer



Navneet Mehta
(Finance Officer)
University of Petroleum & Energy Studies



UNIVERSITY OF PETROLEUM & ENERGY STUDIES

SCHEDULE - 10

SIGNIFICANT ACCOUNTING POLICIES AND NOTES TO ACCOUNTS:

Annexed to and forming part of accounts for the period ended on 31st of March 2017.

A. CONSTITUTION OF THE UNIVERSITY OF PETROLEUM & ENERGY STUDIES:

University of Petroleum & Energy Studies is a Statutory University established through an Act namely University of Petroleum & Energy Studies Act, 2003 (Act No. 15 of 2003) [hereinafter referred to as UPES Act] passed by the Uttaranchal Legislative Assembly and assented to by the Governor on July 08, 2003. The University is governed by the UPES Act and Statutes and Rules passed as per Chapter V of the UPES Act.

B. SIGNIFICANT ACCOUNTING POLICIES:

1. GENERAL:

The financial statements are prepared under the historical cost convention on the accrual basis of accounting.

2. REVENUE RECOGNITION:

Fee is recognized on financial year basis.

3. FIXED ASSETS:

Fixed assets are stated at cost (including all incidental expenses) less accumulated depreciation. Computers include cost of hardware, networking, operating systems and software.

Depreciation on all items of Fixed Assets has been charged on Written down Value Method as per the rates prescribed under Schedule XIV of the Companies Act, 1956. The rate of Depreciation on various classes of Assets is mentioned below:

Sl No	Asset Class	Rate of Depreciation
1	Building	10%
2	Classroom Equipment's	20%
3	Computer & Software	40%
4	Furniture and Fixtures	25.88%
5	Office Equipment's	13.91%
6	Vehicles	25.89%
7	Library Books	13.91%
8	Plant & Machinery	13.91%

4. All borrowing costs are expensed out in the year in which they are incurred.

C. NOTES TO ACCOUNTS

1. The University is registered u/s 12A and recognized u/s 80G of the Income Tax Act, 1961.

2. Campus Development Expenses:

All expenses related to construction project at 'UPES' own campus is being capitalized.



Navneet Mehta
(Finance Officer)
University of Petroleum & Energy Studies



3. Transfers:

(a) Transfer from Income & Expenditure Account:

25% of the total interest accrued up to 31st March 2017 from bank in respect of fixed deposit of Rs. 1.77 crores has been transferred to Permanent Endowment Fund as per requirement of clause (4) of section 41 of the UPES Act.

Balance Interest accrued up to 31st March 2017 from Bank in respect of fixed deposit has been transferred to Development Fund as per requirement of clause (4) of Section 41 and sub-clause (e) of clause (1) of section 43 of the UPES Act.

(b) Transfer from Development Fund:

That portion of the Development Fund which has been utilized for the acquisition, construction or major repair of the asset is transferred to General Fund. So long as the asset is not fully acquired or constructed or the activity is completed the proportionate fund is kept in Development Fund.

4. Cash & Bank Balances:

Cash & Bank Balances include a fixed deposit of Rs.1,77,35,027/- (Rupees One Crore Seventy Seven Laes Thirty Five Thousand Twenty Seven only) made against permanent endowment fund of the university as per requirement of clause (1) and (2) of Section 41 of the UPES Act.

5. As the University is not carrying out any commercial, industrial or business activities, the Accounting Standards formulated by Institute of Chartered Accountants of India are not applicable to it.

6. Deferred Fee amounting to Rs. 61.88 Cr (Previous Year 54.94 Cr) is on account of fee received for unexpired period and will be amortized based on completion of particular course/ activity.

7. Previous year figures have been regrouped and rearranged to make them comparable with current year figures.

SCHEDULE 1 TO 10 FORM AN INTEGRAL PART OF ACCOUNTS

AUDITORS' REPORT

As per our separate report appended to the Balance Sheet

For Raghunath Rai & Co.
Chartered Accountants

(Samir Jain)
Partner
M. No. 77010

Date: 22 SEP 2017
Place: New Delhi



For University of Petroleum & Energy Studies

(Dr. Deependra Kumar Jha)
Vice-Chancellor

(Sanjeev Kumar Goyal)
Finance Officer

Navneet Mehta
(Finance Officer)
University of Petroleum & Energy Studies



UNIVERSITY OF PETROLEUM & ENERGY STUDIES
GROUPING OF BALANCE SHEET AS ON 31.03.2017

Handwritten: 2016-17

31st March 2017 31st March 2016

ADVANCES RECOVERABLE IN CASH OR KIND Assets

Prepaid Expenses	26,545,375	21,993,611
R&D Projects	101,746	1,023,379
TDS Refundable	9,634,034	8,108,936
Employee Loan	1,300,297	2,025,522
Other Advances & receivables	106,970	-
Sundry Creditors Advance	6,399,272	20,726,246
Project Creditors Advance	-	-
Foreign Creditors Advance	307,046	3,789,064
Staff Advances for Expenses	2,605,644	945,944

47,000,383 58,612,703

Provision for Retirement Benefits

Provision for Leave Encashment	207,452,795	104,815,300
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Provision for Gratuity

277,059,249 163,831,712

Total Other Liabilities & Provisions

484,512,044 268,647,012

484,512,044 268,647,012

Sundry Creditors

Sundry Creditors	81,443,216	78,574,805
Other Creditors for Capital Item(Project & Foreign)	29,876,709	149,642,644

Total... 111,319,925 228,217,449

Other Liabilities

Student Welfare Fund	31,000	31,000
Payable for Imprest Accounts	1,572,166	1,947,560
Advance for R & D Projects	16,456,742	16,745,450
Other Payables	121,308,174	160,849,020
Stale Cheques	356,152	1,345,761
Alumini Fee	18,209,480	15,348,342
Expenses Payable	11,428,361	5,259,864

ALUMNI CONTRIBUTION

Criteria 5.4.2

169,362,076 201,526,997

Total Sundry Creditors & Expenses Payable

280,682,001 429,744,445

Security Deposit Liability

Security Deposit-Student-General	244,974,153	204,737,000
	244,974,153	204,737,000

Other Misc. Liabilities

Miscellaneous Liabilities Account	1,676,587	1,097,191
Students Liability account	153,650	704,800
	1,830,237	1,801,991

Other Liabilities

Security Deposit-Vendors	4,606,093	1,736,576
Security Deposit-Airtel	50,000	50,000
	4,656,093	1,786,576

Total Other Liabilities

287,168,331 433,333,012



Navneet Mehta
(Finance Officer)
University of Petroleum & Energy Studies

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UNIVERSITY OF PETROLEUM & ENERGY STUDIES
GROUPING OF BALANCE SHEET AS ON 31.03.2017

31st March 2017 31st March 2016

Advance from Students liability

Course & Hostel Fees

147,062,369	103,059,229
147,062,369	103,059,229
147,062,369	103,059,229

Bank Balance with schedule Bank

280021	Axis Bank 093010100191524	-	-
280202	Oriental Bank of Commerce(CA-4750)	9,639,911	6,670,838
280218	College of Legal Studies-UPES A/c 08892011010725	-	-
280205	OBC-Gurg-CA No.08891010001590	-	-
280107	OBC-Gurg-SB A/c No.08892011001273	-	-
280206	OBC- ND-CA No.-02171010011040	1,594,287	71,592
280219	OBC-SB(00022011000640) Main A/c	83,745	79,943
280026	OBC PP Main Saving (A/c-02122151003506)	-	-
280125	OBC PP Main CA (A/c-02121131000801)	-	-
280203	0000280203 OBC (SB-48230) Main A/c	19,727,676	2,413,000
280230	Axis Bank (CA A/c no. 910020041260586)	-	-
280209	AXIS (SB-16267) Main A/c-91101010064016267	6,383,433	986,561
280210	OBC C/A(02121131001754)Main A/C	-	-
280236	SBI (32061662671) SB	-	175,257
280229	OBC-C/A-12371131003365 main bank A/c	-	-
280207	OBC(SB-08892011001273) Main A/c	153,365	4,676,901
280224	OBC PP Main Saving (A/c-02122151003506)	3,832,262	740,970
280225	OBC PP Main CA (A/c-02121131000801)	-	1,308,742
280238	OBC OD Main 08895015000244	-	46,214
280240	YES BANK A/C NO-011594600000224-COLLECTION_DDN	963,583,500	858,766,970
280250	YES BANK A/C NO-029894600000014-COLLECTION_CRP	183,121,952	52,095,292
280260	YES BANK A/C NO-011594600000231-PAYMENT_DDN	-20,411	-882,420
280270	YES BANK A/C NO-029894600000021-PAYMENT_CRP	-46,049,135	-17,889,312

1,142,050,585 909,260,549

Fixed Deposits with schedule Bank (List attached)

280009	Fixed Deposit Receipts < 90 days	-	-
280010	Fixed Deposit Receipts > 90 days	920,412,498	263,117,463
280011	Fixed Deposit Receipts Pledged with Banks	18,773,486	15,830,832
280028	Fixed Deposit Receipts - Non Current	60,136,481	209,650,732

999,322,465 488,599,027

Click on total to get the FDR Listing

Accrued Incomes

Accrued Interest	56,347,991	21,625,583
Interest Accrued but not Due on SB- OBC	67,546	22,416
Interest Accrued but not Due on SB- Axis/YES	-	20,027,657
Accrued PDP Fees	218,750	28,061,250
	56,634,287	69,736,905



Navneet Mehta
(Finance Officer)
University of Petroleum & Energy Studies



UNIVERSITY OF PETROLEUM & ENERGY STUDIES			
SUB GROUPING OF BALANCE SHEET AS ON 31.03.2017			
GL CODES	Security Deposit(Assets)	2,017	2,016
270080	Security Deposit-Cylinder	80,800	80,800
279817	Security Deposit-Guest House, Vasant Vihar	70,000	70,000
279825	Security Deposit -Bar Council of India.	100,000	100,000
279807	Security Deposit-Tel	56,000	47,000
279804	Security Deposit Mumbai off	-	-
279808	Security Deposit others	1,306,871	1,306,871
1002760	Security Deposit DRS	145,674,720	145,674,720
279806	Security deposit PHD Rent	-	-
279818	Security Deposit- Vendors	4,663,500	4,838,482
		151,951,891	152,117,873
	Expenses Payable Liability		
230531	Expenses Payable	404,613	35,857
230516	Stipend Charges Payable	48,000	-
230529	Conveyance-Payable	-	-
230512	Salary Payable	6,965,117	2,620,258
279822	Mediclaime payable	147,786	140,779
230205	Other Income received in Advance	-	-
230568	PDP Payable	-	-
230553	Incentive payable	-	-
230021	Provision for Expenses	3,680,000	2,462,970
230051	Provision for Advances Unbilled	182,845	-
		11,428,361	5,259,864
	Research Project- Advance received Liability		
235054	IOCL CATALYST DEVELOPMENT	76,521	114,874
230566	Advance DST/Biomass Pyrolysis	470,140	470,140
230567	Advance from Customer (IAU)	385,403	385,403
230564	Ministry of New & Renewal Energy	224,808	224,808
230033	Adv-Membraneless DST	-	282,974
230569	Ministry of New & Renewal Energy (Govt. Grant)	176,112	5,934,528
235055	Uttarakhand state council for science & Technology	258,643	335,243
235067	SERB-Microfluidic-diffusivity-meter:Co2	-	1
235069	Feasibility of harnessing Concentrated-Solar Thermal	763,216	1,264,003
235059	Inter University Accelerator Centre	58,712	58,712
235086	Adv.ONGC	270,000	270,000
235087	Micro-Fluidic-Viscosities-DST	2,015,001	2,199,598
235092	Shaheed Memorial Cricket Tournament	-	332,067
235095	UCS&T-Fire & Safety	69,126	74,126
235096	UCS&T-Environmental Awareness, E-Waste Handling Ma	14,481	19,481
235097	UK Env. Protection & Pollution Control Board	16,999	16,999
235098	Tutoring System for Seismic Data	60,312	463,509
235099	Rural Women Technology Park of UPES	588,571	1,812,167
235101	Introduction Design of Algorithms-NMEICT	30,570	30,570
235103	Roll-On & Roll-Off Design Challenge-LMC	3,466,141	1,039,502
235109	Integrated Advance Geo-Scientific approach-SERB	154,448	1,400,000
235111	Eng Physics Workshop-2015-IIT Mumbai	8,213	16,745
2000675	INTRODUCTION TO STRUCTURE ENGINEERING,IITKharag	24,000	-
2000670	IPR CELL (UCOST)	20,000	-
2000720	Swachh Neer- Une Vie Meilleure: Co	873,279	-
2000715	Immobilisation of Enzymes on various Str	156,074	-
2000721	WATER RESOURCES AND HUDROPOWER UCOS	135,956	-
2000730	Uttarakhand Jal Sansthan -Improvement	269,788	-
2000731	2D Material : Multilayer GaN- SERB	183,332	-
2000735	Sustainable Grey water treatment	2,634,886	-
2000745	Development of Self healing Polymer Nanocomposites Based	3,052,011	-
		16,456,742	16,745,450
	Research Project- Excess Expenditure		
235091	IIT Mumbai-Aakash Tab Project	-	1,460
235053	IDI Diesel Engine using SVO with Hydrogen Suppleme	30,336	30,336
235071	Conversion of sugarcane bagasse to auto fuels	20,178	796,204
235083	Agro Supply Chain Conference	-59,688	10,650
235100	Biofuel and Bioenergy-NCBB2015	-79,291	20,710
235102	Environmental Studies Workshop -Jan2015-IIT Bombay	5,257	5,257
235104	Next Gen Computing Technologies-SERB	-	9,908
235105	BAJA-2016-UPES Dirt Marshall	174,308	148,855
2000680	Occupational Health Safety	10,645	-

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UNIVERSITY OF PETROLEUM & ENERGY STUDIES			
SUB GROUPING OF BALANCE SHEET AS ON 31.03.2017			
GL CODES	Security Deposit(Assets)	2,017	2,016
		101,746	1,023,379
	Cash In hand Asset		
280015	Cash - Dehradun	-	198,205
280022	Main Cash- Kandoli	-	74,863
280016	Petty Cash - Delhi	190,604	350,416
280017	Petty Cash - Dehradun	120,623	498,245
280018	Petty Cash- Kandoli	103,406	131,716
		414,633	1,253,445
	Fee in Advance Liability		
230201	Fees Received in advance (New Students)	96,562,369	103,059,229
230206	Hostel Fee Received in Advance	50,500,000	-
		147,062,369	103,059,229
	TDS & Statutory Liabilities		
230527	WCT Payable	204,734	454,103
	TDS	4,622,758	33,702,622
	EPF	5,292,243	4,499,938
230550	Service Tax Payable	3,939,467	-
		14,059,202	38,656,663
	TDS Payable liability		
235001	TDS on Contractor 194C Co.	403,461	1,280,837
235002	TDS on Contractor 194C - Others	71,996	203,818
235010	TDS on Adv. Commission 194H Co.	-	25,297
235013	TDS on Rent 194I Co.	239	-
235014	TDS on Rent 194I Others	148	-
235015	TDS on Rent 194I Firms	24,500	1,540,382
235016	TDS on Professional 194J Co.	1,197,326	3,614,665
235017	TDS on Professional 194J Others	83,745	224,361
235023	TDS-Salary	-	10,318,674
235106	TDS Sec 195 for Foreign Vendors	2,841,343	16,494,588
		4,622,758	33,702,622
	EPF Payable liability		
230522	EPF A/c No. 1 Payable	4,313,668	3,684,942
230523	EPF A/c No. 2 Payable	177,371	151,912
230524	EPF A/c No. 10 Payable	754,000	623,698
230525	EPF A/c No. 21 Payable	46,005	38,388
230526	EPF A/c No. 22 Payable	1,199	998
		5,292,243	4,499,938
	Security Deposit liability		
235026	Vendors	4,606,093	1,736,576
		4,606,093	1,736,576

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UNIVERSITY OF PETROLEUM & ENERGY STUDIES			
SUB GROUPING OF BALANCE SHEET AS ON 31.03.2017			
GL CODES	Security Deposit(Assets)	2,017	2,016
	Deferred Assets and Prepaid Expenses		
	Prepaid Expenses		
279802	Prepaid Expenses	12,240,527	7,575,349
279809	Prepaid- License Fee for Software	7,515,909	11,399,656
279811	Prepaid-Insurance	3,436,111	2,713,572
279827	Prepaid Expenses_MKT	3,352,828	305,034
		26,545,375	21,993,611
	Total Prepaid Expenses	26,545,375	21,993,611
	Deferred Liability		
230036	Deferred Tuition Fees	443,714,845	348,193,077
230038	Deferred Hostel Fees	43,084,093	31,916,337
230039	Deferred Transport Fees	909,818	993,818
230040	Deferred PDP Fees	49,562,500	36,412,500
230041	Deferred Industrial Tour Fees	53,497,500	105,217,500
230043	Deferred Tuition Fee CCE	19,764,562	19,768,069
230056	Deferred Computing Fees	10,787,655	9,603,405
230057	Deferred IATA Fees (Aviation Operations)	-	541,579
235072	Deferred Summer School Fee	235,000	4,000
235073	Deferred Re-appear Fee	618,000	-
		622,173,973	552,650,286
	Deferred Assets		
270005	Deferred Domicile Discount	1,521,293	1,251,390
270007	Deferred Scholarship Expenses	1,900,446	1,934,021
		3,421,739	3,185,411
	Net Deferred Liability	618,752,234	549,464,874
	Other receivables		
	Advance for land	-	-
230046	Inter Company Accounts- M-Power	-	-
	Receivable for Expenses	-	-
270079	Income Receivable	106,970	-
		106,970	-
	Other Payables		
230046	Inter Company Accounts- M-Power	-	-
230048	Inter Company Accounts- HERS	121,308,174	160,849,020
1004594	Inter Company Accounts- DRS	-	-
230054	Inter Company Accounts- Laureate	-	-
		121,308,174	160,849,020

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UNIVERSITY OF PETROLEUM & ENERGY STUDIES
SUB GROUP OF INCOME & EXPENDITURE

<u>GL CODES</u>	<u>Academic Fees</u>	<u>31.3.2017</u>	<u>31.3.2016</u>
310001	Tuition Fees	2,575,461,779	2,044,172,830
310017	Bridging Fees- B.Tech Mining	-	13,987,296
330025	CAS Course Fees	-	-
	Other Income from Students	53,442,232	35,541,316
		<u>2,628,904,011</u>	<u>2,093,701,442</u>
	Deductions :		
310011	Domicile Discount	8,828,665	7,475,009
310012	Concession to Internal Candidates	5,860,000	5,233,500
		<u>14,688,665</u>	<u>12,708,509</u>
	Net Course Fee	<u>2,614,215,347</u>	<u>2,080,992,933</u>
	Other Fees		
340001	Hostel Fees	225,661,995	162,929,113
20001	Industrial Tours	57,173,000	31,601,100
320002	Transport Fees	5,023,043	5,381,966
320004	PDP Fee	55,335,000	46,267,500
320005	Computing Fees	37,746,843	19,915,591
320006	IATA Fees (Aviation Operations)	1,943,937	928,421
310018	Studio Raw Materials & Consumables Fees	390,000	210,000
330026	Misc Income- Hostel	262,900	159,066
330027	Misc Income- CCE	-	12,000
330021	Convocation Fees	922,000	682,000
		<u>384,458,718</u>	<u>268,086,757</u>
	Interest received		
330008	Interest on FDR	71,662,146	43,972,378
330009	Interest on SB Account	83,249,860	55,111,928
330110	Interest on others	-	27,676
		<u>154,912,005</u>	<u>99,111,982</u>
	Misc. Receipts		
330003	Library Fine Charges	732,480	823,519
330012	Other Fine receipts	2,356,848	2,157,888
330001	Donation	1,219,000	3,132,001
330010	Sponsorship Income	411,270	382,700
330022	Petro net LNG Ltd.-Donation A/c	1,742,958	1,248,750
330004	Sale of Waste	385,915	124,771
330006	Misc. Income	2,881,985	2,554,081
330107	Faculty Transport Charges	50,000	37,500
330032	Income From Events	3,764,586	2,365,642
		<u>13,545,042</u>	<u>12,826,852</u>
	Sale of Prospectus	66,010,100	73,059,073

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UNIVERSITY OF PETROLEUM & ENERGY STUDIES
SUB GROUP OF INCOME & EXPENDITURE

	<u>31.3.2017</u>	<u>31.3.2016</u>
<u>Other Income from Students</u>		
330013 Re-Appear Examination Fee	15,662,000	8,933,000
330014 Re-Checking Fee	77,650	123,100
330036 Early Release Fees	3,191,688	2,280,000
330050 Summer School Fees	15,455,000	7,860,000
330005 Administrative charges including other income	7,861,331	5,040,986
330011 ID Card Fees	2,402,310	2,549,600
330034 Other Income from Students	147,000	750
330037 Late Fee Fine	15,000	-
330038 Late Registration Fines	41,000	-
330041 Transcript Fee	7,000	-
330043 Reprinting of degree	5,000	-
330020 GMI Fees	5,629,484	4,772,301
330029 Directed Reading fees	1,047,000	597,000
330035 Other Income from Students- CCE	1,900,769	3,384,579
	<u>53,442,232</u>	<u>35,541,316</u>
<u>Other Income</u>		
330106 Other Recoveries	-	-
440148 General Penalty	-	-
	<u>-</u>	<u>-</u>
<u>Rent & Hire of Premises</u>		
430001 Rent-Corporate Office(Delhi)	15,237,950	12,617,950
430003 Rent Mumbai office	-1	877,252
430004 Rent-Guest House Vasant Vihar	759,169	705,765
430015 Rent Other	1,942,435	2,025,569
430005 Rent Bidholi Guest House	81,000	99,000
430011 Rent to DRS for Kandoli	200,528,231	157,438,564
	<u>218,548,784</u>	<u>173,764,099</u>
<u>ADMINISTRATIVE EXPENSES</u>		
<u>IT Hardware & Software Expenses</u>		
440003 Website Exp	992,345	897,741
440130 Computer Centre Facilities Services	1,095,595	944,430
440001 Computer Consumables	2,491,851	1,967,405
440002 Internet Charges	4,433,673	3,188,118
440137 SAP Implementation Exp.	928,321	1,008,716
440004 License Fees	18,356,861	12,618,078
440135 Installation Charges	1,500	-
	<u>28,300,146</u>	<u>20,624,488</u>
<u>Electricity & Generator & Water</u>		
440007 Generator-Diesel	5,901,312	4,617,962
440005 Electricity Expenses	17,520,647	15,210,561
440006 Generator Hire Charges	4,995	-
440009 Water Expenses	945,567	891,468
	<u>24,372,520</u>	<u>20,719,991</u>
<u>Festivals & Functions</u>		

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UNIVERSITY OF PETROLEUM & ENERGY STUDIES
SUB GROUP OF INCOME & EXPENDITURE

	<u>31.3.2017</u>	<u>31.3.2016</u>
440012 Entertainment Expenses	1,324,392	871,587
440013 Faculty Induction Program	62,730	11,900
440016 Seminar & Functions- CCE	2,054	-
440020 Seminar & Function	5,451,617	899,810
440018 Puja & Rituals	62,748	63,531
440017 Diwali & Festivals	4,532,780	2,908,204
	11,436,321	4,755,032
<u>Audit & Related Fees</u>		
440021 Statutory Auditor's Fees	572,800	429,375
440149 Internal Audit Fee	-	-
	572,800	429,375
<u>Legal Expenses</u>		
440022 Legal Expenses	598,546	510,400
	598,546	510,400
<u>Communication Expenses</u>		
440026 Courier Expenses	455,262	309,203
440027 Postage, telegraph & Fax	197,306	247,439
440024 Telephone Expenses	1,580,493	1,385,522
440025 Mobile Reimbursements	7,500	4,025
450036 Telephone Reimbursement	3,480,105	3,057,901
	5,720,666	5,004,090
<u>Insurance Expenses</u>		
440033 Insurance Exp.	5,741,300	5,007,362
440036 Medical Insurance	4,921,828	4,376,778
	10,663,128	9,384,140
<u>Printing & Stationary</u>		
440052 Computer Printing Expenses	172,532	157,466
440054 Photocopy Expenses	705,148	585,692
440035 Photocopy Expenses- CCE	-	233
440055 Printing Expenses	2,079,885	4,230,967
440053 Stationary Expenses	1,830,457	1,650,400
460016 Stationery / Photocopy - Marketing	333,435	553,282
440030 Stationery Expenses- CCE	4,400	16,894
	5,125,856	7,194,934
<u>Repair & Maintenance</u>		
440074 Repair & Maintenance-Equipment's	1,279,572	113,465
440008 Generator Repair & Maintenance	-	-
440080 Annual Maint Charges	1,080,124	2,221,608
440154 Annual Maintenance Charges - Lift	2,582,794	264,307
440077 Annual Maintenance Charges for Rounit & Water Cooler	-	-
440147 Annual Maintenance Charge - EPBX	-	19,500
440076 Annual Maintenance Charges-Computers	3,444,014	2,453,108
440126 Annual Maintenance Chg-SAP	2,932,332	2,003,202

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	31.3.2017	31.3.2016
440142 Annual Maintenance Charges-AC	818,524	875,450
440146 Fire & Extinguishers Expenses	1,500	231,926
440070 Repair & Maintenance - Office	-	36,774
440073 Repair & Maintenance-Computers	2,711	-
440068 Repair & Maintenance-Electricity	348,326	17,825
440075 Repair & Maintenance - Furniture	-	-
440069 Repair & Maintenance - General Criteria 7.1.8	19,176,534	28,902,627
440067 Vehicle Diesel-general	194,796	161,070
440059 Vehicle Maintenance	72,312	439,261
440064 Vehicle Petrol-Maruti Omni	1,000	54,351
440058 Vehicle Diesel-DL9C 1483	-	-
440060 Vehicle Maintenance-Chancellor/President	-	8,332
440061 Vehicle & Maintenance- Mr. Kaul	-	-
	31,934,538	37,802,806

Travelling & Hotel Exps.

440057 Travelling Expenses- CCE	-	11,560
440083 Hotel, Boarding & Lodging Expenses	8,010,220	5,461,966
440097 Travelling Hotel	-	20,097
440093 Taxi/Vehicle Hire Charges-Non Academic	9,313,453	6,253,257
440086 Transportation Charges	5,789,500	6,404,201
440095 Travelling Expenses	18,835,428	8,771,569
440090 Travelling Except Del/Ddn	5,334,512	2,762,898
440121 Travelling Expenses Del/Ddn	1,064,784	1,490,306
440159 Travel (India) - Tickets	2,650	-
440161 Travel (India) - Others	300	-
440164 Travel (Foreign) - Others	1,199	-
440168 Travel (Foreign -Mktg) - Tickets	25,235	-
440170 Travel (Foreign -Mktg) - Others	961	-
440104 Housekeeping-Guest House	960,000	915,000
440106 Repair & Maintenance- Guest House	300	5,840
440102 Guest House Exp.	199,612	100,028
440117 Food Exp.	1,274,324	787,825
440127 Foreign Travel	2,534,799	6,676,214
440094 Travelling Conveyance	1,140	20,231
440091 Travelling Incidental Expenses	3,850	7,000
440109 Conveyance Reimbursement	2,530	130,183
440111 Conveyance	884,221	920,600
460007 Conveyance for Marketing	-	156,319
	54,239,018	40,895,092

Housekeeping/Security Expenses

450020 Housekeeping Services	16,766,561	13,869,752
450021 Security Services	20,088,021	18,269,606
	36,854,582	32,139,358

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SUB GROUP OF INCOME & EXPENDITURE

	<u>31.3.2017</u>	<u>31.3.2016</u>
<u>Other Administrative Expenses</u>		
400027 Medal / Gown Exp.	-	-
440119 Mementoes, Gifts & Presents	2,331,212	2,100,166
440157 Consumables & General Items	2,123,524	4,347,082
440122 Flower & Gardening Expenses	144,200	333,340
440110 Membership & Subscription	4,785,340	3,342,682
440124 Office Expenses	1,047,102	743,460
441000 Write Off of Fixed Assets	-	683,486
440032 Foreign Exchange Fluctuation	5,652,989	112,706
330007 Shortage & Excess Adjustment	5,805	-70
440056 Loss/Gain on Assets	13,073,348	-396,767
	29,163,520	11,266,084
<u>Miscellaneous Expenses</u>		
440112 Freight & Cartage	187,507	167,964
450022 Labour Charges	12,435	8,280
440113 Medical Expenses	1,214,277	1,489,944
440114 Social Responsibility Cost	2,091,769	1,056,811
440123 Misc. Expenses	73,554	556,446
440118 Interest on others	429,721	571,960
440150 Bad Debts	3,673	-
440051 Donation Expenses	113,000	53,000
440015 Inauguration Expenses	32,863	17,641
400047 Academic Audit Expenses	630	-
400001 Commission	-	2,250
440108 Commission & Brokerage	-	80,000
440037 Meeting Exp.- BOG	13,511	-
440047 Sitting Charges - Interview Panel	11,025	-
440041 Meeting Exp.- General	2,328,988	1,450,107
440042 Sitting Charges - BOG	164,200	90,000
440043 Sitting Charges - BOM	-	40,000
440044 Sitting Charges-others	50,000	95,000
440152 Sitting Charges - Others	90,500	-
440011 Conference Coordination	80,843	67,270
420006 Quality Audits	74,574	244,190
440144 Soft Furnishing	-	1,200
440999 Offsetting Entry A/c (Not for Use)	-	225
440028 Bank Locker Hire Charges	1,145	-
	6,974,216	5,992,287
<u>HOSTEL EXPENSES</u>		
480020 Cylinder Refill Charges	-	42,275
480019 Hostel Lodging & Boarding	70,974,669	52,966,200
480021 Repair & Maintenance-Hostel	-	89,174
480015 Repair & Maintenance Exp. - Hostel	581,348	1,323,311
480017 Hostel Miscellaneous	299,562	215,256
480013 Transportation Charges- Hostel	-	3,750
480002 Security Exp. - Hostel	3,447,211	2,345,827
480003 Housekeeping Exp.- Hostel	4,702,009	2,768,514

Criteria 7.1.8



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UNIVERSITY OF PETROLEUM & ENERGY STUDIES
SUB GROUP OF INCOME & EXPENDITURE

	<u>31.3.2017</u>	<u>31.3.2016</u>
	80,004,799	59,754,307
<u>Advertisement, Marketing & Placement Expenses</u>		
460001 Advt., Marketing, PRs	145,717,682	126,419,846
Admission Expenses	15,800,320	9,262,491
Career Services & Placement Expenses	236,346	494,883
460018 Advertisement Electronic Media	27,654,168	25,352,214
460019 Call Center Expenses	7,206,831	5,111,338
Marketing Expenses	38,209,191	25,834,715
420007 Summer/Campus Placements	127,295,771	101,104,141
	362,120,310	293,579,628
<u>Admission Expenses</u>		
400042 Printing & Designing- Admissions	4,500	-
400043 Interview & GD Expenses	9,762,505	6,635,151
400003 Student Acquisitions/Interviews	6,033,316	2,627,340
	15,800,320	9,262,491
<u>Examination Expenses</u>		
400004 Examination Expenses	10,074,411	10,584,248
	10,074,411	10,584,248
<u>Lab Expenses</u>		
400008 Lab Operating Expenses	2,402,678	1,628,849
400006 Laboratory Consumables	2,938,985	3,063,179
400007 Workshop Operating Exp.	-	22,430
400009 Binding expenses	261,149	270,593
400005 Workshop Consumables	1	-
	5,602,812	4,985,051
<u>Library Expenses</u>		
400011 Library Subscription Exp.	4,658,774	3,426,628
400012 Newspaper, Books & Periodicals	5,063,137	4,707,152
	9,721,911	8,133,780
<u>Industrial Tour Exp.</u>		
<u>Students' Welfare</u>		
400018 Sports Expenses	796,657	768,463
400032 Convocation Expenses	4,428,147	5,149,959
440019 Event Expenses	18,904,859	15,996,265
400015 Farewell Party Exp.	128,720	173,620
400016 Students Freshers Party	290,916	326,450
400017 Induction Day Exp.	-	56,700
400020 Student Training & Development Exp.	9,024,298	10,913,096
400054 Student Training Expenses	266,967,923	167,274,582
400019 Student Welfare	2,379,252	5,273,878
400014 Uniform & Bag Expenses	594,800	33,330
441020 Laptop to Students	75,745	843,106
400050 Affiliation Fee	750,000	460,000
400048 Student Training on CRS "Amadeus"	-	54,800
400022 Photography Expenses	307,764	2,779,107

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UNIVERSITY OF PETROLEUM & ENERGY STUDIES
SUB GROUP OF INCOME & EXPENDITURE

	<u>31.3.2017</u>	<u>31.3.2016</u>
	<u>304,649,081</u>	<u>210,103,355</u>
<u>Outsourced Services</u>		
420010 CCE Support Services	56,565,005	46,609,380
420011 IBM Royalty	69,744,729	75,430,811
499512 Outsource Expenses	49,614,000	55,528,000
Total Outsourced Services	175,923,734	177,568,191
<u>Sale of Bulletins & Prospectus</u>		
330015 Sale of prospectus	66,027,700	73,066,323
330031 Discount - Sale of prospectus	-17,600	-7,250
	<u>66,010,100</u>	<u>73,059,073</u>
<u>Advertisement Expenses</u>		
460003 Marketing Exp.	1,318,186	76,218
460011 Telephone Expenses	2,448,384	931,944
460010 Seminar & Fair - Marketing	2,110,039	3,943,714
460013 Travelling for Marketing	5,606,826	4,933,664
460014 Travelling Hotel for Marketing	4,203,933	2,917,842
460017 Printings-marketing's	3,866,330	1,773,094
460009 Postage & Courier Expenses	1,886,521	2,279,749
440151 Designing & Processing Charges	16,768,972	6,857,615
400002 Prospectus Printing Expenses	-	2,120,875
	<u>38,209,191</u>	<u>25,834,715</u>
<u>Front Office/PR Management Services</u>		
460002 Business Promotion	207,361	94,688
460006 Gifts etc.	-	195
460005 Career fair / Exhibition expense	-	400,000
400049 Travelling Expenses -Placement	28,985	-
	<u>236,346</u>	<u>494,883</u>
<u>Staff Salary & Other Cost</u>		
450017 Late Sitting Conveyance	1,113,102	1,184,828
450018 Staff Welfare	13,973,005	12,749,815
440081 Shifting & Re-Location Expenses	119,105	118,650
450031 Incentive	-	74,406
450045 Leave Encashment	109,700,297	25,310,875
450033 Salary & Allowances	45,800	24,000
450035 Medical Reimbursement	5,500	5,500
450048 Driver Reimbursement	-	77,000
450041 Horticulture Services	3,271,924	3,026,304
450061 Training/development-Staff	2,082,881	2,058,000
450053 Honorarium	1,175,920	955,477
440140 Faculty Development Expenses	588,592	243,383
450066 Gratuity	16,201,715	41,162,056
440155 Outsource Manpower	49,915,752	35,234,185
450067 Notice Pay (faculty)	-1,618,477	-2,636,490
450070 Teaching Salary - Full Time	522,465,745	415,066,207
450071 Teaching Salary - Part Time	6,912,752	3,610,524

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	<u>31.3.2017</u>	<u>31.3.2016</u>
450072 Marketing Salary	20,153,196	21,930,951
450073 Student Services	12,910,814	9,304,808
450068 Directed Reading/summer School	2,420,200	1,591,000
450074 Academic Management Salaries	33,244,414	35,584,387
450075 General & Administrative Salaries	82,335,788	62,421,767
450079 Salaries Cost - CCE- Teaching	1,748,365	1,046,213
450080 Salaries Cost - CCE- Non Teaching	3,112,844	2,078,727
450081 Salary Cost - Hostel	729,576	663,414
	<u>982,608,810</u>	<u>672,885,988</u>


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SUB GROUP OF INCOME & EXPENDITURE

	<u>31.3.2017</u>	<u>31.3.2016</u>
<u>Academic Professional Charges</u>		
450037 Professional Charges - Faculty	3,256,576	3,037,249
450063 Professional Charges - Non faculty(Monthly)	2,784,027	4,054,580
450023 Stipend	15,828,775	15,629,950
450002 Conveyance to Guest Faculty	685,342	559,511
450076 Conveyance to Guest Faculty- CCE	-	-8,900
450003 Guest Lectures / Visiting Faculty	10,088,254	8,197,855
450019 Honorarium/incentive-Faculty	51,488	31,716
450058 Training/Development- Faculty	399,294	137,200
450077 Guest Lectures / Visiting Faculty- CCE	-	13,527
450078 Honorarium- CCE	-	8,000
	<u>33,093,756</u>	<u>31,660,688</u>

<u>Professional and Consultancy Expenses</u>		
450038 Professional Charges	28,487,570	33,123,271
450039 Recruitment Expenses - Faculty	4,679,250	1,152,365
450040 Recruitment Expenses - Admin	277,908	84,360
	<u>33,444,728</u>	<u>34,359,996</u>

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Additional document to Criteria 4.1.4, 4.4.1 & EP 4.6

	Mar-15	Mar-16	Mar-17	Mar-18	Mar-19
Staff, Faculty & Other Costs	640,405,689	742,691,495	1,015,702,566	1,241,854,445	1,260,457,406
Education Expenses & Student Activities	464,677,908	590,633,976	665,015,567	727,188,367	895,761,713
Administrative Expenses	173,301,313	198,331,726	279,400,584	278,469,822	413,829,441
Advertising, Publicity & Placement Expenses	213,645,761	293,579,628	362,120,310	478,109,946	442,140,004
Interest & Bank Charges	21,510,155	1,659,401	859,090	1,948,090	1,289,392
Rent & Hire of Premises	80,463,810	173,764,099	218,548,784	243,579,953	256,536,728
Total	1,594,004,636	2,000,660,325	2,541,646,901	2,971,150,624	3,270,014,684

Total expenditure excluding the salary	953,598,948	1,257,968,830	1,525,944,335	1,729,296,179	2,009,557,278
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	Mar-15	Mar-16	Mar-17	Mar-18	Mar-19	Expenditure of maintenance of physical facilities & academic support facilities	Academic/Maint/ Others
Education Expenses & Student Activities							
Student Welfare Expenses & kits etc.	101,326,209	201,801,458	304,649,081	386,706,273	494,122,992	Yes	Academic
Examination Expenses	12,028,775	10,584,248	10,074,411	10,834,060	13,867,478	Yes	Academic
Laboratory Expenses	3,534,490	4,985,051	5,602,812	4,984,538	7,148,797	Yes	Academic
Library Expenses	1,417,145	16,435,677	9,721,911	20,927,478	27,179,358	Yes	Academic
Industrial Tour Expenses	27,500,537	24,653,926	53,579,664	52,119,757	56,595,123	Yes	Academic
Courseware & License Fees	137,138,049	99,965,459	25,459,154	82,373,097	119,215,059	Yes	Academic
Outsourced Educational Services	149,033,993	177,568,191	175,923,734	76,212,500	83,608,900	Yes	Academic IBM Royalty
Hostel Lodging & Boarding Expenses	32,698,710	54,639,966	80,004,799	93,030,665	94,024,007	No	others
Other Educational & Welfare Expenses						No	others
Total	464,677,908	590,633,976	665,015,567	727,188,367	895,761,713		

Administrative Expenses

IT Hardware & Software Expenses	18,878,675	20,624,488	28,300,146	28,819,817	47,376,023	Yes	Maintenance
Electricity, Generator & Water Expenses	17,253,302	20,719,991	24,372,520	26,246,284	29,786,040	Yes	Maintenance
Festivals & Functions	6,317,331	3,863,912	11,436,321	11,342,146	12,695,950	No	others
Statutory Auditor's Fees	427,500	429,375	572,800	560,500	661,392	No	others
Internal Audit Fee	814,050	-	-	-	-	No	others
Legal Expenses	359,428	510,400	598,546	288,316	3,943,062	No	others
Professional and Consultancy Charges	22,769,847	34,359,996	33,444,728	70,886,719	84,490,006	No	others
Communication Expenses	2,308,407	5,004,090	5,720,666	5,295,368	4,408,990	Yes	Maintenance
Insurance Expenses	9,804,811	9,384,140	10,663,128	13,338,290	17,452,852	Yes	Maintenance
Printing & Stationary	6,902,726	7,194,934	5,125,856	7,020,147	10,668,582	No	others
Repair & Maintenance	30,624,473	37,802,806	31,934,538	34,993,720	49,589,633	Yes	Maintenance
Travelling, Transportation & Hotel Expenses	40,434,255	40,895,092	54,239,018	61,252,930	73,384,053	No	others
Other Administrative Expenses	16,406,508	17,542,502	36,137,736	18,425,585	53,544,630	Yes	Maintenance
Housekeeping/Security Expenses			36,854,582		25,828,228	Yes	Maintenance
Hostel Lodging & Boarding Expenses					-	No	others
Hire Charges						No	others
Meeting Expenses						Yes	Academic
Total	173,301,313	198,331,726	279,400,584	278,469,822	413,829,441		

Interest & Bank Charges

Interest on Term Loan	20,302,294	449,228				No	others
Bank Charges	1,207,861	1,210,173	859,090	1,948,090	1,289,392	No	others
Car Loan Finance Charges						No	others
Total	21,510,155	1,659,401	859,090	1,948,090	1,289,392		

Advertisement, Marketing & Placement Expenses

Advt., Marketing, PRs	76,114,237	126,419,846	145,717,682	130,100,953	74,003,319	No	others
Admission Expenses	10,378,976	9,262,491	15,800,320	15,024,571	48,978,515	No	others
Career Services & Placement Expenses	380,174	494,883	236,346	17,813	34,725	Yes	Academic
Advertisement Electronic Media	18,652,448	25,352,214	27,654,168	43,530,907	34,725,367	No	others
Call Center Expenses	4,820,437	5,111,338	7,206,831	(23,346)	-	No	others
Marketing Expenses	27,167,282	25,834,715	38,209,191	37,655,153	49,212,945	No	others
Summer/Campus Placements	76,132,207	101,104,141	127,295,771	174,732,723	173,292,694	No	others
CCE Support Services				77,071,172	61,892,439	No	others
Total	213,645,761	293,579,628	362,120,310	478,109,946	442,140,004		

Rent

Rent & Hire of Premises	80,463,810	173,764,099	218,548,784	243,579,953	256,536,728	Yes	Academic
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Year	FY 2014-15	FY 2015-16	FY 2016-17	FY 2017-18	FY 2018-19	Total
Expenditure of maintenance of physical facilities (A)	95,276,177	111,078,017	173,983,315	127,119,063	227,986,397	735,442,968
Academic support facilities (B)	512,823,183	710,252,992	803,795,898	877,755,469	1,058,309,159	3,962,936,701
Other Expenditure (C)	345,499,589	436,637,821	548,165,122	724,421,647	723,261,722	2,777,985,901
Total Operating Expenditure (D)	953,598,948	1,257,968,830	1,525,944,335	1,729,296,179	2,009,557,278	7,476,365,570

For University of Petroleum & Energy Studies

[Signature]
Authorised Signatory

Utilisation ratio(Expenditure of maintenance of physical facilities and academic support facilities)/Total Expenditure $E=(A+B)/(C+D)$	49.57%	55.37%	54.10%	48.34%	57.98%	53.07%
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